

EFTD-25,791

PATENT

2131

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Robert G. Farris and Michael L. Roerick

Serial No.: 09/920,545

Filed: August 1, 2001

Group: 2131

Examiner: To Be Assigned

For: ENCRYPTION OF FINANCIAL INFORMATION

Assistant Commissioner for  
Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231 on

November 5, 2001

(Date of Deposit)

Roger N. Chauza

(Name of Person Mailing Document)

*Roger N. Chauza*

(Signature)

Nov. 5, 2001

(Date of Signature)

INFORMATION DISCLOSURE STATEMENT

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, attached please find a Form PTO 1449 listing information for consideration by the Office in connection with its examination of the above-captioned patent application.

To Applicants knowledge, this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Therefore, pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed necessary for its consideration. Applicants request that this information disclosure statement be considered and that a copy of the Form PTO 1449 be returned to the undersigned indicating the consideration of each document listed.

**INFORMATION DISCLOSURE STATEMENT**

Atty. Dkt. No. EFTD-25,791

S/N 09/920,545

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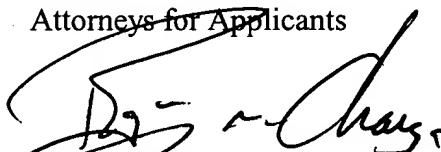
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## REMARKS

Applicants submit that no representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of these materials in any way reflects their relevant pertinence. The listing on the attached Form PTO 1449 is not intended to constitute an admission of any kind. Specifically, this presentation is not an admission that any of the items listed are properly citable against the above-identified application as prior art. Applicants respectfully submit that their invention is patentable over the enclosed documents listed on Form PTO 1449.

Please charge any necessary fees or deficiencies in fees necessary for the filing of this paper or credit any overpayment to Deposit Account No. 20-0780/EFTD-25,791 of HOWISON, CHAUZA, THOMA, HANDLEY & ARNOTT, L.L.P.

Respectfully submitted,  
HOWISON, CHAUZA, THOMA, HANDLEY  
& ARNOTT, L.L.P.  
Attorneys for Applicants



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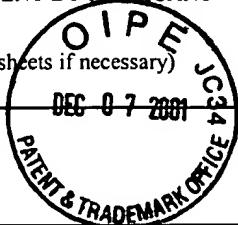
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FORM PTO-1449 (Rev. 2-32) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO. EFTD-25,791	SERIAL NO. 09/920,545
	APPLICANT Robert G. Farris and Michael L. Roerick	
	FILING DATE August 1, 2001	GROUP 2131



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	4,305,059	12/08/1981	Benton	340	825.33	
	A2	4,912,762	03/27/1990	Lee et al.	380	24	
	A3	4,977,595	12/11/1990	Ohta et al.	380	24	
	A4	5,016,277	05/14/1991	Hamilton	380	49	
	A5	5,877,482	03/02/1999	Reilly	235	380	RECEIVED DEC 10 2001 Group 2100
	A6	5,963,648	10/05/1999	Rosen	380	24	
	A7	6,137,873	10/24/2000	Gilles	379	201	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER		DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.